



IMPORTANT PRIVACY CHOICES FOR CONSUMERS

Benjamin F. Edwards & Co. (BFE) and Benjamin F. Edwards Wealth Management, LLC (EWM) thank you for your business. We appreciate the trust that you have placed in us to protect the security and confidentiality of your personal information.

This notice describes how you can restrict information that we share with companies with whom we have joint marketing agreements. This notice is required by California law.

If you have already instructed us to limit the use of your information for marketing purposes, you do not need to do anything. We will continue to honor your request.

For more information, please visit www.benjaminfedwards.com and our "Privacy and Security" page.

Your Right

You have the right to restrict our sharing of your personal and financial information with companies with whom we have joint marketing agreements.

Nothing in this form prohibits the sharing of information necessary for us to follow the law, as permitted by law, to service your account(s), or to give you the best service on your accounts with us. This includes sending you information about other products and services.

Your Choice

Restrict Information Sharing With Other Companies We Do Business With To Provide Financial Products and Services:

Unless you say "No," we may share personal and financial information about you with companies with whom we have joint marketing agreements.

- No, please do not share my personal and financial information with companies with whom you have joint marketing agreements.

Time-Sensitive Reply

If we do not hear from you within 45 days, we may share your personal and financial information with companies with whom we have joint marketing agreements. You may restrict this sharing at any time by following the instructions below. Your privacy choice marked here will remain in effect until you revoke it. To revoke your privacy choice, please call toll-free (855) 382-1600, or notify us in writing using one of the methods below.

Name [please print or type]

Account Number(s)

Signature

Date

To exercise your choice, do one of the following:

- (1) Complete this form and return it to us in the envelope provided (you may want to retain a copy); or
- (2) Call this toll-free number: (855) 382-1600; or
- (3) Send an e-mail with the subject line "California Opt-Out" to privacy@benjaminfedwards.com. (Please do not send us your account number via e-mail.)