



BENJAMIN F. EDWARDS®
INVESTMENTS for GENERATIONS®

CALIFORNIA RESIDENTS CONSENT TO DISCLOSURE OF INFORMATION

Benjamin F. Edwards & Co. (BFE) and Benjamin F. Edwards Wealth Management, LLC (EWM) thank you for your business. We appreciate the trust that you have placed in us to protect the security and confidentiality of your personal information.

Your Rights

California law prohibits financial institutions from disclosing your customer information to nonaffiliated third parties unless you consent (or the disclosure is otherwise permitted by law).

Your Choices

Please check the box below to consent to our sharing of your personal information for the following reason. **If you do nothing, we will not share your customer information.**

Account transition: We have entered into an agreement with a number of other firms that permits your financial advisor to use your name, address, telephone number, e-mail address and account title to contact you to service your accounts if they join another firm that is a party to the same agreement.

Yes, I consent to BFE and EWM permitting my financial advisor to use my name, address, telephone number, e-mail address and account title if my financial advisor leaves BFE or EWM to join another firm that is a party to the same agreement.

Duration of consent. Your consent will remain in effect until you revoke or modify it. You may revoke or modify your consent at any time.

By completing this form and signing below, you consent to the sharing of your personal information for the reasons specified in this notice. Please complete and sign this form and return it to us using one of the methods described below. To modify or revoke your consent, call us at (855) 382-1600 or notify us in writing using the addresses below.

Name [please print or type]

Account Number(s)

Signature

Date

To exercise your choice, do one of the following:

- (1) Complete this form and mail it to us at Benjamin F. Edwards & Co., One North Brentwood Boulevard, Suite 850, St. Louis, Missouri, 63105 (you may want to retain a copy); or
- (2) Send an e-mail with the subject line "California Consent" to privacy@benjaminfedwards.com. (Please do not send us your account number via e-mail.)

We will retain this form as completed by you and provide a copy of the completed form upon your request.